

FINANCIAL MARKETS REGULATION WORKSHOP

AUCKLAND – 29 OCTOBER 2024

WELLINGTON – 31 OCTOBER 2024



A two-hour workshop providing an overview of New Zealand's financial markets regulatory environment, highlighting recent changes.

Who should attend this workshop?

- Participants in New Zealand financial markets, seeking up-to-date information about New Zealand's financial markets regulation
- NZFMA accredited individuals
- Employees of NZFMA member organisations who intend to pursue NZFMA Accreditation

CONTENT OUTLINE:

- Development of New Zealand's financial markets regulation
 - Key New Zealand financial markets events and regulatory responses
- Managing change in a changing regulatory environment
- Key regulatory obligations
 - Overview of New Zealand's current financial markets regulatory environment
 - Key New Zealand financial markets regulators - their roles and expectations
 - Key legislation, including key aspects of the Financial Markets Conduct Act
- Overview of financial market industry codes of conduct, conventions and guidance documents



Practical examples and insights on how key regulations might impact participants and their organisation



Explore the implications and application of key content through group discussions



Two Continuing Professional Development (CPD) hours for NZFMA accredited individuals

DETAILS:

Venues: **Auckland: 29 October 2024**
Buddle Findlay, HSBC Tower,
Level 18, 188 Quay Street

Wellington: 31 October 2024
Buddle Findlay, Aon Centre,
Level 17, 1 Willis Street

Two sessions at each venue:

Morning: 10.00 am -12.00 pm
Afternoon: 1.30 pm - 3.30 pm

Participants can attend each session either in person or online **via MS Teams**

Cost: **Free** for all attendees

Facilitators: Emma Geard, Senior Associate,
Buddle Findlay
Will Hunnam, Senior Associate,
Buddle Findlay

To Register: [Registration Form](#)